



2004 Balance Sheet

Financial year: Yearly

Unit NT\$ thousand

Accounting Title	2004/09/30		2003/09/30	
	Amount	%	Amount	%
Assets				
Current Assets				
Cash and cash equivalents	4,895,690	4.52	1,124,425	1.06
Short-term investments	8,248,940	7.62	10,279,414	9.69
Investments in bonds with resale agreements	5,788,052	5.35	7,297,700	6.88
Securities held for operations-proprietary	28,092,495	25.97	24,422,441	23.03
Securities held for operations-underwriting	4,342,755	4.01	2,939,102	2.77
Marginal receivables	29,642,363	27.40	26,443,389	24.93
Deposits paid to other securities lenders	0	0.00	0	0.00
Other current assets	0	0.00	2,525	0.00
Current Assets	85,723,923	79.24	87,503,639	82.52
Funds and Long-Term Investments				
Funds and long-term investment	12,342,324	11.41	9,795,967	9.23
Fixed Assets				
Land	3,497,002	3.23	1,864,938	1.75
Buildings	1,226,963	1.13	1,176,523	1.10
Accumulated depreciation-buildings	-184,215	-0.17	-156,583	-0.14
Machinery and equipment	932,486	0.86	915,906	0.86
Accumulated depreciation-machinery and equipment	-351,478	-0.32	-353,895	-0.33
Prepayment for land and building	192,164	0.17	494,953	0.46
Prepayment for business facilities	17,063	0.01	19,597	0.01
Leasehold improvements	146,421	0.13	186,537	0.17
Accumulated depreciation-leasehold improvements	-75,524	-0.06	-94,424	-0.08
Fixed assets	5,400,882	4.99	4,053,552	3.82
Intangible Assets				
Intangible assets	6,454	0.00	11,246	0.01
Other Assets				
Statutory deposits	1,729,251	1.59	1,550,000	1.46
Settlement funds	658,511	0.60	654,027	0.61
Guarantee deposit paid	115,577	0.10	309,906	0.29
Deferred charges	0	0.00	0	0.00
Prepaid pension-noncurrent	0	0.00	0	0.00
Rental asset	1,962,356	1.81	1,677,983	1.58
Idled assets	74,320	0.06	474,057	0.44
Deferred income tax asset-noncurrent	0	0.00	0	0.00

Other assets-other	3,604	0.00	0	0.00
Other assets	4,543,619	4.20	4,665,973	4.40
Total assets	108,171,025	100.00	106,030,377	100.00
Liabilities				
Current Liabilities				
Short-term borrowings	699,233	0.64	499,154	0.47
Commercial papers payable	0	0.00	20,000	0.01
Notes issued under repurchase agreement	28,664,896	26.49	30,181,419	28.46
Warrants liabilities	13,978	0.01	2,035,636	1.91
Deposits received from securities borrowers	3,496,857	3.23	4,278,753	4.03
Gurranteed price deposits received from securities borrowers	4,760,330	4.40	5,828,629	5.49
Future dealer equity	0	0.00	0	0.00
Other current liability	7	0.00	6,641	0.00
Current liabilities	50,008,436	46.23	50,144,557	47.29
Bonds payable	2,519,042	2.32	4,939,661	4.65
Long-term borrowings	0	0.00	0	0.00
Long-term liability	2,519,042	2.32	4,939,661	4.65
Other Liabilities				
Reserve for default losses	200,000	0.18	200,000	0.18
Reserve for securities trading losses	0	0.00	59,815	0.05
Gurrantee deposits and margins received	12,428	0.01	12,964	0.01
Accrued pension liability-noncurrent	431,205	0.39	366,691	0.34
Deferred income tax liability-noncurrent	0	0.00	10,031	0.00
Other liability-other	0	0.00	0	0.00
Other liability	643,633	0.59	649,501	0.61
Total liability	53,171,111	49.15	55,733,719	52.56
Stockholders' Equity				
Capital stock	28,171,040	26.04	24,474,202	23.08
Capital surplus	15,731,252	14.54	14,763,941	13.92
Retained earnings	10,725,911	9.91	10,914,617	10.29
	371,711	0.34	143,898	0.13
	0	0.00	0	0.00
Total stockholders' equity	54,999,914	50.84	50,296,658	47.43
Total liability and stockholders' equity	108,171,025	100.00	106,030,377	100.00