



2001 Balance Sheet

Financial year: Yearly

Unit NT\$ thousand

Accounting Title	2001/12/31		2000/12/31	
	Amount	%	Amount	%
Assets				
Current Assets				
Cash and cash equivalents	7,846,552	8.74	13,849,644	15.92
Short-term investments	11,405,693	12.71	1,340,551	1.54
Investments in bonds with resale agreements	9,549,837	10.64	7,584,012	8.72
Securities held for operations-proprietary	18,383,912	20.49	18,448,369	21.21
Securities held for operations-underwriting	1,466,806	1.63	1,984,597	2.28
Marginal receivables	18,577,964	20.70	19,194,849	22.07
Deposits paid to other securities lenders	0	0.00	0	0.00
Other current assets	0	0.00	0	0.00
Current Assets	77,072,201	85.90	74,182,637	85.29
Funds and Long-Term Investments				
Long-term investments	5,309,245	5.91	4,978,093	5.72
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Fixed Assets				
Land	1,576,087	1.75	2,118,284	2.43
Buildings	1,078,663	1.20	1,504,310	1.72
Accumulated depreciation-buildings	-127,575	-0.14	-103,838	-0.11
Machinery and equipment	947,454	1.05	1,322,641	1.52
Accumulated depreciation-machinery and equipment	-376,009	-0.41	-424,383	-0.48
Prepayment for land and building	0	0.00	0	0.00
Prepayment for business facilities	19,570	0.02	17,042	0.01
Leasehold improvements	145,522	0.16	196,023	0.22
Accumulated depreciation-leasehold improvements	-65,303	-0.07	-77,700	-0.08
Fixed assets	3,198,409	3.56	4,552,379	5.23
Intangible Assets				
Intangible assets	2,803	0.00	4,441	0.00
Other Assets				
Statutory deposits	1,194,000	1.33	1,394,000	1.60
Settlement funds	502,467	0.56	533,709	0.61
Guarantee deposit paid	740,425	0.82	717,955	0.82
Deferred charges	0	0.00	0	0.00
Prepaid pension-noncurrent	0	0.00	0	0.00
Rental asset	603,588	0.67	362,855	0.41
Idled assets	946,467	1.05	242,481	0.27

Deferred income tax asset-noncurrent	0	0.00	0	0.00
Other assets-other	147,000	0.16	0	0.00
Other assets	4,133,947	4.60	3,251,000	3.73
Total assets	89,716,605	100.00	86,968,550	100.00
Liabilities				
Current Liabilities				
Short-term borrowings	1,563,585	1.74	802,424	0.92
Commercial papers payable	810,000	0.90	2,535,154	2.91
Notes issued under repurchase agreement	26,390,253	29.41	25,728,817	29.58
Warrants liabilities	981,760	1.09	230,078	0.26
Deposits received from securities borrowers	2,961,501	3.30	2,593,153	2.98
Gurranteed price deposits received from securities borrowers	3,543,682	3.94	2,353,733	2.70
Future dealer equity	0	0.00	0	0.00
Other current liability	0	0.00	0	0.00
Current liabilities	39,851,097	44.41	37,928,889	43.61
Bonds payable	5,532,575	6.16	5,189,969	5.96
Long-term borrowings	0	0.00	0	0.00
Long-term liability	5,532,575	6.16	5,189,969	5.96
Other Liabilities				
Reserve for default losses	200,000	0.22	200,000	0.22
Reserve for securities trading losses	23,587	0.02	0	0.00
Gurrantee deposits and margins received	5,832	0.00	3,492	0.00
Accrued pension liability-noncurrent	223,222	0.24	134,045	0.15
Deferred income tax liability-noncurrent	36,715	0.04	24,168	0.02
Other liability-other	2,605	0.00	2,605	0.00
Other liability	491,961	0.54	364,310	0.41
Total liability	45,875,633	51.13	43,483,168	49.99
Stockholders' Equity				
Capital stock	22,315,199	24.87	20,363,336	23.41
Capital surplus	12,727,257	14.18	15,040,872	17.29
Retained earnings	8,996,844	10.02	8,750,694	10.06
Unrealized valuation losses on long-term equity investments	0	0.00	-1,504	0.00
Cummulative translation adjustments	398,312	0.44	145,154	0.16
Treasury stock	-596,640	-0.66	-813,170	-0.93
Total stockholders' equity	43,840,972	48.86	43,485,382	50.00
Total liability and stockholders' equity	89,716,605	100.00	86,968,550	100.00